

**Substitute Form W-9
Legal Department**



Request for Taxpayer Identification and Certification (collected on behalf of Energy Trust and its subcontractors)

I. Type of Entity: Complete Box A, B, C, or D (Choose Only One)

A. Individual or Sole Proprietor Individuals or Sole Proprietors may receive a 1099 from Energy Trust.

(Persons known to file as self-employed are required to pay self-employment taxes and file using their Social Security number. Self employed filers are not eligible for unemployment benefits)

Name (as shown on your last income tax return)

Business or Trade Name (assumed business name if different than above)

Social Security Number or

EIN, if applicable

B. Business, Partnership, Trust or Joint Venture, LLC or LLP Business entities other than corporations may receive a 1099 from Energy Trust.

Business Name (as shown on income tax return)

Business or Trade Name (assumed business name if different than above)

Business EIN

C. Corporations (including not-for-profit corporations) While corporations will not receive a 1099 from Energy Trust, we still require a W-9 for our files.

Name of Corporation (as shown on income tax return)

Business or Trade Name (assumed business name if different than above)

Corporation EIN

D. Government Public school districts, city, county, state, and federal entities in some cases may receive a 1099 form from Energy Trust.

Name of Government Entity (as shown on income tax return)

Agency within Government Entity (if different than above)

Government EIN

II. Tax Filing Address

Street

City

Phone Number

State

Zip

III. Signature and Certification

Under penalties of perjury, I hereby certify that: 1) the above information is true and correct, 2) I am not subject to backup withholding, and 3) I am a U.S. person (including a U.S. resident alien).

Signature:

Date: